

## Homework 10. Model Theory.

### 0. PROVING FACT 2

SHOW: If  $\Delta$  is maximally consistent, then  $\Delta$  is deductively closed.

Assume to the contrary that  $\Delta$  is not deductively closed, then there is at least one  $\phi$  such that  $\phi \notin \Delta$  and  $\Delta \vdash \phi$ .  $\Delta$  is consistent, so  $\Delta \cup \{\phi\}$  is consistent.  $\Delta \subset \Delta \cup \{\phi\}$ , so  $\Delta$  is NOT maximally consistent, hence  $\Delta$  must be deductively closed. ☺

### 1. THREE INTERPRETED MODELS FOR L

#### M1

$M1 = \langle \{0,1,2\}, I \rangle$

$I(\mathbf{R}) = \{ \langle 0,1 \rangle, \langle 1,2 \rangle, \langle 2,0 \rangle \}$

$I(1) = 0, I(2) = 1, I(3) = 2$

#### M2

$M2 = \langle \{\text{Kurt, Alfred, Gottlob}\}, I \rangle$

$I(\mathbf{R}) = \{ \langle \text{Kurt, Alfred} \rangle, \langle \text{Alfred, Gottlob} \rangle, \langle \text{Gottlob, Kurt} \rangle \}$

$I(1) = \text{Kurt}, I(2) = \text{Alfred}, I(3) = \text{Gottlob}$

#### M3

$M2 = \langle \{ \text{♂}, \text{♀}, \text{🐟} \}, I \rangle$

$I(\mathbf{R}) = \{ \langle \text{♂}, \text{♀} \rangle, \langle \text{♀}, \text{🐟} \rangle, \langle \text{🐟}, \text{♂} \rangle \}$

$I(1) = \text{♂}, I(2) = \text{♀}, I(3) = \text{🐟}$

### 2. INDEPENDENCE OF AXIOMS AND FORMAL COMPLETENESS

Assume for any  $\Delta$  that  $\Delta^c$  is maximally consistent. Let  $\Delta^{1c} \cup \{\phi\} = \Delta^c$  and assume that  $\Delta^{1c}$  is not maximally consistent. Assume to the contrary that  $\Delta^{1c} \vdash \phi$ , then  $\phi \in \Delta^{1c}$  and  $\Delta^{1c} \cup \{\phi\} = \Delta^{1c} = \Delta^c$ , and then  $\Delta^c$  would be and would not be maximally consistent. ☺

### 3. INDEPENDENCE OF AXIOMS AND CATEGORICITY

Consider  $\mathcal{M} =_{df} \{M: \forall \partial \in \Delta [M \models \partial]\}$ . Assume that all  $M \in \mathcal{M}$  are isomorphic.

Consider  $\mathcal{M}1 =_{df} \{M: \forall \partial: \partial \neq \partial^* \ \& \ \partial \in \Delta [M \models \partial]\}$ . Assume that not all  $M \in \mathcal{M}1$  are isomorphic.

Assume that  $\partial^*$  is not independent. Then,  $\mathcal{M} = \mathcal{M}1$  contra the assumption that all  $M \in \mathcal{M}$  are isomorphic and not all  $M \in \mathcal{M}1$  are isomorphic. ☺

### 4. MODELS FOR A2-A6

Let L1 be the formal system that results from A2-A6. Consider the following models:

#### M4

$M4 = \langle \{\text{Kurt, Alfred, Gottlob, Bertrand}\}, I \rangle$

$I(\mathbf{R}) = \{ \langle \text{Kurt, Alfred} \rangle, \langle \text{Alfred, Gottlob} \rangle, \langle \text{Gottlob, Bertrand} \rangle, \langle \text{Bertrand, Kurt} \rangle \}$

$I(1) = \text{Kurt}, I(2) = \text{Alfred}, I(3) = \text{Gottlob}$

#### M5

$M5 = \langle \{ \text{♂}, \text{♀}, \text{🐟}, \text{👤} \}, I \rangle$

$I(\mathbf{R}) = \{ \langle \text{♂}, \text{♀} \rangle, \langle \text{♀}, \text{🐟} \rangle, \langle \text{🐟}, \text{👤} \rangle, \langle \text{👤}, \text{♂} \rangle \}$

$I(1) = \text{♂}, I(2) = \text{♀}, I(3) = \text{🐟}$

M1-M5 are models for L1. It is obvious that neither M4 nor M5 are isomorphic to any of the M1-M3, for the cardinality of the domains of M4 and M5 is 4. L1 is not categorical.

L is a categorical system (PMW 205), so deleting A1 from L, changes the system from categorical to non-categorical. Since M4-M5 satisfy all A2-A6 but do not satisfy A1, A1 is independent.

### 5. A2'

Replacing A2 with A2' results in an inconsistent system, to wit:

0.  $\forall x (x = 1 \vee x = 2 \vee x = 3) \ \& \ 1 \neq 2 \ \& \ 1 \neq 3 \ \& \ 2 \neq 3$       A1

1.  $\forall x [Rxx]$       A2'

2.  $\forall x \forall y \forall z [ (Rxy \ \& \ Rxz) \rightarrow y = z ]$       A3

3. R12      A6

4.	R11	1, $\forall$ -out
5.	R11 & R12 $\rightarrow$ 1 = 2	2, $\forall$ -out
6.	1 = 2	3,4, $\rightarrow$ out
7.	1 $\neq$ 2	A1, SL
8.	$\perp$	6,7,SL

### 6. $L + A2' - (A3-A4) = L2$

Let L2 be the result of replacing A2 with A2' and deleting A3 and A4. L2 is consistent, since it is obvious that M6 is a model for L2.

#### M6

$M6 = \langle \{0,1,2\}, I \rangle$

$I(R) = R1 = \{ \langle 0,0 \rangle, \langle 0,1 \rangle, \langle 1,1 \rangle, \langle 2,2 \rangle \}$

$I(1) = 0, I(2) = 1, I(3) = 2$

L2 is not categorical, for consider M7.

#### M7

$M7 = \langle \{1,2,3\}, I \rangle$

$I(R) = R2 = \{ \langle 1,1 \rangle, \langle 1,2 \rangle, \langle 2,2 \rangle, \langle 2,3 \rangle, \langle 3,3 \rangle \}$

$I(1) = 1, I(2) = 2, I(3) = 3$

M7 is a model for L2. It has only three distinct individuals. Every individual bears R to itself, so every individual bears R to some individual and R12. But, obviously, M6 is not isomorphic to M7, since, although the domains are of the same cardinality, R1 is not 'preserved' in M7.

### 7. L3

L3 results from L by substituting A5 for A5'. L3 is inconsistent:

0.	$\forall x (x = 1 \vee x = 2 \vee x = 3) \& 1 \neq 2 \& 1 \neq 3 \& 2 \neq 3$	A1
1.	$\exists y \forall x [Rxy]$	A5'
2.	$\forall x \forall y \forall z [ (Ryx \& Rzx) \rightarrow y = z ]$	A4
3.	R12	A6
4.	$\forall x Rx2$	1, $\exists$ -out
5.	R32	1, $\forall$ -out

- |    |                                    |                   |
|----|------------------------------------|-------------------|
| 6. | $R12 \ \& \ R32 \rightarrow 1 = 3$ | 2, $\forall$ -out |
| 7. | $1 = 3$                            | 3,4,5 SL          |
| 8. | $1 \neq 3$                         | A1, SL            |
| 9. | $\perp$                            | 6,7,SL            |

### 8. L4

L4 is the result of substituting A2-A6 with A2'':

$$A2'': \forall x \forall y \forall z ((Rxy \ \& \ Rxz) \rightarrow y = z)$$

L4 is consistent, since M8 is a model for it:

#### M8

$$M8 = \langle \{1,2,3\}, I \rangle$$

$$I(R) = \{ \langle 1,1 \rangle, \langle 1,2 \rangle, \langle 2,2 \rangle, \langle 3,3 \rangle, \langle 3,1 \rangle, \langle 3,2 \rangle \}$$

$$I(1) = 1, I(2) = 2, I(3) = 3$$

L4 is not categorical, since M9 is also a model for L4, but it is not isomorphic to M8.

**\*\*\*Instructors' comments:** No, A2'' is incompatible with any model with a non-empty R. For instance, your model M8 includes R12. But by A2'',  $R12 \ \& \ R12 \rightarrow 2 \text{ not-equal } 2$ . And  $2 \text{ not-equal } 2$  is a contradiction. So the relation must be empty. And the system is therefore categorical.

#### M9

$$M9 = \langle \{1,2,3\}, I \rangle$$

$$I(R) = \{ \langle 1,1 \rangle, \langle 1,2 \rangle, \langle 2,2 \rangle, \langle 3,3 \rangle \}$$

$$I(1) = 1, I(2) = 2, I(3) = 3$$

### 9. A3 IS NOT INDEPENDENT

$$A1. \quad \forall x (x = 1 \vee x = 2 \vee x = 3) \ \& \ 1 \neq 2 \ \& \ 1 \neq 3 \ \& \ 2 \neq 3$$

$$A2. \quad \forall x \sim [Rxx]$$

$$A3. \quad \forall x \forall y \forall z [ (Ryx \ \& \ Rzx) \rightarrow y = z ]$$

$$A4. \quad \forall x \exists y [Rxy]$$

$$A5. \quad R12$$


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We derive A3 from the previous set of premises as follows:

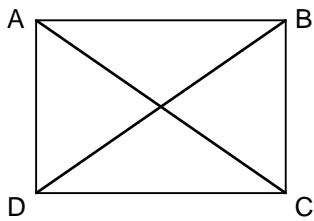
1. From A2, A3, A4 and A6 we have  $\sim R32$ .

2. From A2, A3 and A4, we have  $R31 \vee R32$ . From 1, we have R31.
3. Since R31, and A2 and A3 hold, we have  $\sim R21$ .
4. From A2, A3 and A4, we have  $R21 \vee R23$ . From 3 we have R23.
5. From A2, we have  $\sim R11$ , since R23 and A3, then  $\sim R13$
6. We have  $\sim R21$ , from A2 we have  $\sim R22$ , and from 4 we have R23.
7. From 2 we have R31, from 1 we have  $\sim R32$  and from A2 we have  $\sim R33$
8. From 5-7 and A1 we conclude that  $\forall x \forall y \forall z [(Rxy \ \& \ Rxz) \rightarrow y = z]$  Q.E.D. ☺

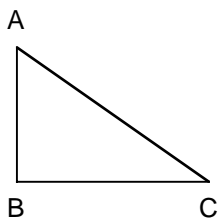
10. IS W CONSISTENT? IS IT CATEGORICAL?

W is consistent, since we have at least one model that satisfies all axioms of W, namely:

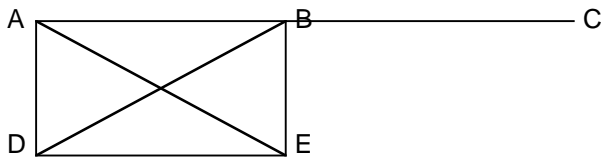
M\*



Is W categorical? I models for W are isomorphic to  $M^*$  . How can a model for W fail to be isomorphic to the one above? Well, maybe there are less or more primitives than in  $M^*$ . First, models with none or just one point fail to satisfy A2. There is no model for W with three points, because for any line l, the only distinct point not in l is in a l' that is not disjoint from l.

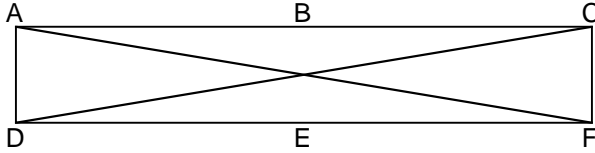


Are there any models with more than 4 points? Not with 5. In  $M^{*1}$  below, there is no unique line between C and any two distinct points:

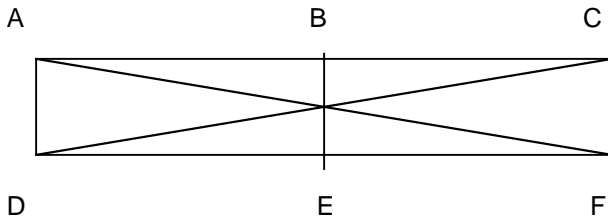


**[Instructors' comment:** But showing that one putative model fails doesn't constitute a proof that there can't be a model with 5 points. Similarly with your suggestive but not conclusive remarks about the 6-point case -- that's not a proof. (And we don't have one.)

Not with 6 points. In the model below A3 fails to hold.



In the following variant, A5 fails to hold:  $\{A,B,C\}$  is a line, but there is no unique line containing E disjoint from  $\{A,B,C\}$ , since  $\{B,E\}$  is not disjoint.



I am not in a position to prove it, but it seems to me obvious that the only way to satisfy all axioms is by considering models with 4 points.

Then since all axioms are independent, any model with 4 points cannot fail to satisfy all axioms of  $W$ .

### 11. ON THE INDEPENDENCE OF THE AXIOMS OF $W$ .

It can be shown that there can be no model for  $W$  in which  $P$  has exactly two members, so if A1, A3, A4, A5 hold, A2 must hold. A2 can be proved from the remainder axioms, so it is not independent.