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PART 5

EYE MOVEMENTS AND READING

Edited by

ROBIN L. HILL

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Chapter 15

EYE MOVEMENTS IN READING WORDS AND SENTENCES

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Eye Movements: A Window on Mind and Brain
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01 **Abstract**

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Word recognition processes seem to be reflected quite straightforwardly in the eye movement record. In contrast, eye movements seem to reflect sentence comprehension processes in a more varied fashion. We briefly review the major word identification factors that affect eye movements and describe the role these eye movement phenomena have played in developing theories of eye movements in reading. We tabulate and summarize 100 reports of how syntactic, semantic, pragmatic, and world-knowledge factors affect eye movements during reading in an initial attempt to identify order in how different types of challenges to comprehension are reflected in eye movements.

01 Readers move their eyes through a text in order to acquire information about its con-
02 tent. Measurements of the duration and location of the fixations they make have taught
03 researchers a great deal about how people acquire information from the printed text,
04 how they represent it, and how they integrate it in the course of understanding a text
05 (see Rayner, 1978, 1998, for extensive overviews). Much of the systematic variance in
06 fixation duration and location can be attributed to processes of recognizing the individual
07 words in the text. Understanding of the relation between word recognition and eye move-
08 ments has progressed to the point where several formal and implemented models of eye
09 movements exist. Many of these models are described in detail, as well as compared and
10 evaluated, by Reichle, Rayner, and Pollatsek (2003; more recent descriptions of new or
11 updated models can be found in Engbert, Nuthmann, Richter, & Kliegl, 2005; Feng, 2006;
12 McDonald, Carpenter, & Shillcock, 2005; Pollatsek, Reichle, & Rayner, 2006; Reichle,
13 Pollatsek, & Rayner, 2006; Reilly & Radach, 2006; Yang, 2006). In our opinion, the most
14 successful models are those that link the word recognition process to the time when an
15 eye moves from one fixation to the next and the target of the saccade that accomplishes
16 this movement. Our favored model, the E-Z Reader model (Pollatsek et al., 2006; Rayner,
17 Ashby, Pollatsek, & Reichle, 2004; Reichle et al., 1998; Reichle et al., 1999), predicts
18 a large proportion of the variance in eye movement measures on the basis of variables
19 whose effect on word recognition has been independently established.

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20 Despite their success, word recognition-based models of eye movement control do
21 not yet provide fully satisfactory answers about all aspects of eye movements during
22 reading. In the E-Z Reader model, a distinction made between two phases of recognizing
23 a word (which are assumed to control different aspects of programming a saccade and
24 the shifting of attention) has been criticized as being not fully compelling (see the replies
25 to Reichle et al., 2003). No model fully specifies the nature of the mental representations
26 of words (e.g., their orthographic or phonological or morphological content) nor does
27 any model fully specify how information that specifies these different representations is
28 acquired foveally vs parafoveally. No model fully specifies how the sequence in which
29 orthographic symbols that appear in a printed word is mentally represented. And, even
30 though it has been clear at least since Frazier and Rayner (1982; Rayner et al., 1983)
31 that higher-level factors such as syntactic parsing and semantic integration can influence
32 fixation durations and eye movements, no existing model adequately accounts for their
33 effects.

34 In the first section of this chapter, we will briefly review some of the well-understood
35 effects of word recognition on eye movements and comment on the extensions of these
36 effects that are discussed in the chapters that appear in the Eye Tracking and Reading part
37 of this volume. In the next part, we go on to analyze the effects of syntactic, semantic, and
38 pragmatic factors on eye movements, and discuss one basis of the difficulty of modeling,
39 namely the apparently variable way that these factors find expression in eye movements.
40 We begin this section with a discussion of one case study of how different measurements
41 of eye movements can provide very different pictures of how some high-level factors
42 influence reading and language comprehension (Clifton, 2003). We continue with an
43 extensive survey of published articles that investigate the effects of high-level factors on

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01 eye movements, attempting to find some order in what kinds of effects appear in which
02 measures of eye movements.

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05 **1. Word recognition and eye movements**

06

07 Perhaps the two most robust findings in studies of eye movements and reading are that
08 (1) fixation time on a word is shorter if the reader has a valid preview of the word
09 prior to fixating it, and (2) fixation time is shorter when the word is easy to identify and
10 understand. The chapters in this section largely deal with these two issues. Johnson's
11 Chapter 19 provides further information regarding the specifics of preview information
12 and demonstrates (see also Johnson, Perea, & Rayner, 2006) that transposed letters are
13 more efficient previews than substituted letters. This result indicates that specific letter
14 identities (probably converted into abstract letter codes) are important in preview benefit.
15 Bertram and Hyönä's Chapter 17 deals with the extent to which morphological infor-
16 mation from Finnish words can be processed parafoveally. Consistent with research on
17 English (Inhoff, 1989; Kambe, 2004; Lima, 1987), they find little evidence for morphologi-
18 cal preview benefit. Interestingly, research on Hebrew has demonstrated morphological
19 preview benefits (Deutsch, Frost, Pollatsek, & Rayner, 2000, 2005; Deutsch, Frost, Peleg,
20 Pollatsek, & Rayner, 2003). White's Chapter 19 deals with the effect of foveal load on
21 skipping words. Prior research (Henderson & Ferreira, 1990; Kennison & Clifton, 1995;
AU3 22 Schroyens, Vitu, Brysbaert, & d'Ydewalle, 1999; White, Rayner, & Liversedge, 2005)
23 has demonstrated that preview benefit is reduced with greater foveal load. In her chapter,
24 White shows that foveal load does not influence word skipping. The other two chapters
25 in this section largely provide further evidence for the conclusion that difficulty of pro-
26 cessing or accessing the meaning of a word strongly influences how long readers look at
AU4 27 it. Morris deals with eye movements and lexical ambiguity, while Juhasz (Chapter 16)
28 deals with the effect of transparency of compound words on eye movements.

29 In the remainder of this section, we will briefly review findings which have demon-
30 strated effects due to (1) word frequency, (2) word familiarity, (3) age-of-acquisition,
31 (4) number of meanings, (5) morphology, (6) contextual constraint, and (7) plausibility.
32 Our interest in this section is in how a word is identified as distinct from how it is
33 integrated into the sentence that carries it. However, we recognize that this distinction
34 between recognition and integration needs a great deal of theoretical refinement. It may
35 prove best to recognize that in addition to factors inherent to an individual word, factors
36 involving the word's relation to other words may affect how it is read. It may prove best
37 to draw theoretical distinctions at points other than recognition vs integration (cf., the E-Z
38 Reader's distinction between two stages of accessing a word; Reichle et al., 1998). At
39 some points, we hedge our bets on whether the effect of some factor, e.g., plausibility, is
40 best discussed in connection with word recognition or sentence integration, and discuss
41 the data about the effect of the factor in both sections of this chapter.

42 We will focus on the measures most commonly used to investigate the process of
43 identifying a word: first fixation duration (the duration of the first fixation on a word,

01 provided that the word was not skipped), single fixation duration (the duration of fixation
02 on a word when only one fixation is made on the word), and gaze duration (the sum of all
03 fixations on a word prior to moving to another word). In the following section, we will
04 concentrate on how integrating an identified word into syntactic and semantic structures
05 affects eye movements. Since some of the factors to be discussed in the first section may
06 affect both word identification and integration, we will revisit their effects in the second
07 section.

08 **Word Frequency.** How long readers look at a word is clearly influenced by how
09 frequent the word is in the language (as determined from corpus data). Rayner (1977) first
10 anecdotally noticed that readers look longer at infrequent words than frequent words and
11 Just and Carpenter (1980) reported a similar frequency effect via a regression analysis.
12 However, frequency and word length are invariably confounded in natural language.
13 Rayner and Duffy (1986) and Inhoff and Rayner (1986) therefore controlled for word
14 length and demonstrated that there was still a strong effect of frequency on fixation times
15 on a word. These researchers reported first fixation and gaze duration measures. The size
16 of the frequency effect in Rayner and Duffy was 37 ms in first fixation duration and
17 87 ms in gaze duration; in Inhoff and Rayner it was 18 ms in first fixation duration and
18 34 ms in gaze duration (when the target word processing had not been restricted in any
19 way). Since these initial reports, numerous studies have demonstrated frequency effects
20 on the different fixation measures (see Rayner, 1998; Reichle et al., 2003 for summaries).
21 One interesting finding is that the frequency effect is attenuated as words are repeated
22 in a short passage (Rayner, Raney, & Pollatsek, 1995) so that by the third encounter of
23 a high or low frequency word, there is no difference between the two. The durations of
24 fixations on low frequency words decreases with repetition; the durations of fixations on
25 high frequency words also decreases, but not as dramatically as for low frequency words.

26 **Word familiarity.** Although two words may have the same frequency value, they may
27 differ in familiarity (particularly for words that are infrequent). Whereas word frequency
28 is usually determined via corpus counts, word familiarity is determined from rating norms
29 in which participants have to rate how familiar they are with a given word. Effects of word
30 familiarity on fixation time (even when frequency and age-of-acquisition are statistically
31 controlled) have been demonstrated in a number of recent studies (Chaffin, Morris, &
32 Seely, 2001; Juhasz & Rayner, 2003; Williams & Morris, 2004).

33 **Age-of-acquisition.** Words differ not only in frequency and familiarity but also in how
34 early in life they were acquired, and this variable influences how long it takes to process
35 a word (Juhasz, 2005). Age-of-acquisition is determined both by corpus counts and by
36 subjective ratings. Juhasz and Rayner (2003, 2006) demonstrated that there was an effect
37 of age-of-acquisition above and beyond that of frequency on fixation times in reading.
38 Indeed, in the Juhasz and Rayner studies, the effect of age-of-acquisition tended to be
39 stronger than that of word frequency.

40 **Number of meanings.** A very interesting result is that there are clear effects of lexical
41 ambiguity on fixation times. Rayner and Duffy (1986), Duffy, Morris, and Rayner (1988),
42 and Rayner and Frazier (1989) first demonstrated these effects, which have subsequently
43 been replicated a number of times (most recently by Sereno, O'Donnell, & Rayner,

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01 2006 and by Morris in her chapter in this section). The basic finding is that when a
02 balanced ambiguous word (a word with two equally likely but unrelated meanings) is
03 encountered in a neutral context, readers look longer at it than an unambiguous control
04 word matched on length and frequency, whereas they do not look any longer at a biased
05 ambiguous word (a word with one dominant meaning) in a neutral context than an
06 unambiguous control word. In the latter case, apparently the subordinate meaning is not
07 registered; however, if the later-encountered disambiguating information makes clear that
08 the subordinate meaning should be instantiated, then there is considerable disruption to
09 reading (long fixations and regressions). When the disambiguating information precedes
10 the ambiguous word, readers do not look any longer at the balanced ambiguous word than
11 the control word. Apparently, the context provides sufficient information for the reader to
12 choose the contextually appropriate meaning. However, in the case of biased ambiguous
13 words when the subordinate meaning is instantiated by the context, readers look longer
14 at the ambiguous word than the control word. This latter effect has been termed “the
15 subordinate bias effect.” Rayner, Cook, Juhasz, and Frazier (2006) recently demonstrated
16 that a biasing adjective preceding the target noun is sufficient to produce the effect.

17 An interesting study by Folk and Morris (2003) suggests, however, that effects of
18 lexical ambiguity interact with syntactic context. Folk and Morris found that the sub-
19 ordinate bias effect disappears when a biased ambiguous word has one noun meaning
20 and one verb meaning (e.g., *duck*) and only the subordinate meaning provides a syn-
21 tactically legal continuation of the sentence. In a second experiment, Folk and Morris
22 preceded balanced ambiguous words with a context that allowed a noun continuation, but
23 not a verb continuation. They found increased reading times on target words with two
24 noun meanings, but not on target words that were ambiguous between noun and verb
25 meanings. A possible moral of the two experiments, taken together, is that assignment
26 of a word’s syntactic category precedes access to meaning. As a result, when a word’s
27 two meanings are associated with different syntactic categories and only one of these
28 categories can legally continue the sentence, competition between the two meanings does
29 not occur. It is an open question how the results obtained by Folk and Morris should
30 be reconciled with cross-modal priming results obtained by Tanenhaus and colleagues
31 (Seidenberg, Tanenhaus, Leiman, & Bienkowski, 1982; Tanenhaus & Donenwerth-Nolan,
32 1984; Tanenhaus, Leiman & Seidenberg, 1979), who reach the conclusion that syntactic
33 context does not prevent access to inappropriate meanings. It is worth noting that the
34 eye-tracking paradigm, due to its naturalness, may be less likely to introduce strategic
35 effects or task demands.

36 The nature of the mechanisms underlying these effects is still under debate. However,
37 the experiments described here demonstrate that, in general, the number of meanings a
38 word has influences how long readers will look at it. Likewise, words that are phonolog-
39 ically ambiguous (like *tear* and *wind*) also yield differential looking times (Carpenter &
40 Daneman, 1981), and words with two different spellings but the same pronunciation (and
41 two different meanings, such as *beech-beach*, *soul-sole*, and *shoot-chute*) also produce
42 differing fixation times (Folk, 1999; Jared, Levy, & Rayner, 1999; Rayner, Pollatsek, &
43 Binder, 1998).

01 Finally, it is interesting to note that Frazier and Rayner (1987) reported that words
02 with syntactic category ambiguity (*desert trains* can be a noun–noun compound or a noun
03 and a verb) resulted in delayed effects in contrast to lexical ambiguity, which results
04 in immediate effects. Pickering and Frisson (2001) likewise reported delayed effects
05 with verbs that are ambiguous in meaning. Also, Frazier and Rayner (1990) found that
06 in contrast to nouns with two meanings (which are typically used in lexical ambiguity
07 studies) reading time is not slowed for words with two senses (such as the two senses of
08 *newspaper*).

09 **Morphological effects.** Traditionally, most recent research on word recognition has
10 dealt with rather simple mono-morphemic words. This tradition has also been largely
11 true of research on eye movements and word recognition. More recently, however, a
12 fair number of studies have examined processing of morphemically complex words. This
13 newer tradition (Hyönä & Pollatsek, 1998; Pollatsek, Hyönä, & Bertram, 2000) started
14 with the processing of Finnish words (which by their very nature tend to be long and
15 morphologically complex). Hyönä and Pollatsek (1998) found that the frequency of the
16 first morpheme (and, to a lesser extent, the second morpheme) in two-morpheme words
17 influenced how long readers fixated on the word, even when the overall word frequency
18 was controlled, implying that recognition of the word decomposing it into its component
19 morphemes. Morphological decomposition of compound words has recently been demon-
20 strated with English words (Andrews, Miller, & Rayner, 2004; Juhasz, Starr, Inhoff, &
21 Placke, 2003). Pollatsek and Hyönä (2003) recently demonstrated that transparency had
22 no effect on fixation times on morphologically complex words. In her chapter in the
23 present volume, Juhasz did find a main effect of transparency in gaze durations. However,
24 both semantically transparent and opaque compound words also exhibited morphologi-
25 cal decomposition supporting Pollatsek and Hyönä's main conclusion that both types of
26 compounds are decomposed during word recognition.

27 **Contextual constraint.** Like word familiarity, word predictability is determined via
28 norming studies (after experimenters have prepared sentence contexts such that certain
29 target words are either predictable or unpredictable from the context). Cloze scores are
30 then used to confirm the experimenter's intuitions as to how constrained a word is by the
31 context. Considerable research has demonstrated that words that are predictable from the
32 preceding context are looked at for less time than words that are not predictable. This
33 result was first demonstrated by Ehrlich and Rayner (1981) and was confirmed a number
34 of times, most notably by Balota, Pollatsek, and Rayner (1985) and Rayner and Well
35 (1996), and most recently by Rayner, Ashby et al. (2004) and Ashby, Rayner, and Clifton
36 (2005). Not only are fixation time measures shorter on high predictable words than low
37 predictable words, readers also skip over high predictable words more frequently than
38 low predictable words (Ehrlich & Rayner, 1981; Rayner & Well, 1996).

39 **Plausibility effects.** Although plausibility clearly affects sentence interpretation and
40 integration, we discuss it here because it may also affect word recognition. Several studies
41 have examined whether manipulations of plausibility or anomaly have effects on eye
42 movements that are immediate enough to suggest that the manipulations may affect word
43 recognition (Murray & Rowan, 1998; Ni, Crain, & Shankweiler, 1996; Ni, Fodor, Crain, &

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01 Shankweiler, 1998; Rayner, Warren, Juhasz, & Liversedge, 2004). We discuss Rayner
02 et al. in some detail in the second section of this chapter. Briefly, they showed that an
03 outright anomaly (e.g., *John used a pump to inflate the large carrots . . .*) affected time
04 to read the critical word (*carrots*). However, the effect did not appear on the first fixation
05 measure, which is ordinarily sensitive to word recognition difficulty, but only on gaze
06 duration. A simple implausibility (. . . *used an axe to chop the large carrots . . .*) only
07 affected the go-past measure (described in the next section of this chapter as an arguably-
08 late measure) and gaze duration on the word following the critical word, suggesting that
09 its effects are limited to processes of integrating the implausible word into the sentence
10 context.

11 **Interim summary.** Up to this point, we have reviewed some basic findings of how
12 certain variables arguably related to word recognition mechanisms manifest themselves
13 in the eye movement record. In general, the primary assumption is that lexical factors
14 play a large role in influencing when the eyes move, and these effects appear in first
15 fixation and first pass measures. And, as we noted earlier, the most successful models of
16 eye movement control are based on the premise that how long readers look at a word is
17 influenced by the ease or difficulty associated with accessing the meaning of the word.
18 Factors that presumably affect word recognition are currently utilized in the models,
19 including our favored E-Z Reader model (Reichle et al., 1998) to predict fixation times.
20 These factors include word frequency, morphological complexity (Pollatsek, Reichle, &
21 Rayner, 2003), and number of meanings (Reichle, Pollatsek, & Rayner, this volume). We
22 ended this section by suggesting that two higher-order “relational” factors (contextual
23 constraint and plausibility) may affect word recognition under some conditions, e.g.,
24 when their operation can be triggered before the target word is fixated (as in a predictable
25 word) or when their manipulation is strong enough (as in anomaly). We turn now to the
26 more difficult issues of the effect of high-order factors on eye movements.

27 28 29 **2. Effects of syntactic, semantic, and pragmatic factors**

30
31 While single fixation, first fixation, and gaze duration are the measures of choice for
32 studying the time course of word identification, a wider variety of measures is commonly
33 used in measuring how factors that guide integration of text affect eye movements. For the
34 most part, authors of the experiments that we will discuss in this section identify critical
35 regions of text, sometimes consisting of as many as three or four words (occasionally
36 even more), and then examine how long it takes readers to read the regions of interest.
37 The standard measures are: first pass reading time (the sum of all fixations in a region
38 from first entering the region until leaving the region, given that the region was fixated at
39 least once), go-past or regression path duration (the sum of all fixations in a region from
40 first entering the region until moving to the right of the region; fixations made during
41 any regressions to earlier parts of the sentence before moving past the right boundary of
42 the region are thus included in this measure, again given that the region was fixated),
43 regressions-out (the probability of regressing out a region, generally limited to the first

01 pass reading of that region), second pass reading time (the sum of all fixations in a region
 02 following the initial first pass time, including zero times when a region is not refixated),
 03 and total reading time (the sum of all fixations in a region, both forward and regressive
 04 movements, again given that the region was fixated). First fixation durations are also
 05 sometimes reported, especially when the disambiguating region is short or when the
 06 researcher is interested in spillover effects from the previous region, but when regions are
 07 long and the disambiguating material is not likely to be included in the initial fixation, the
 08 first fixation measure is inappropriate. Measures such as first pass time (and first fixation
 09 time) are often referred to as “early” measures; measures such as second pass time (and
 10 total time, to the extent that it reflects second pass time rather than first pass time) are
 11 referred to as “late” measures (Rayner, Sereno, Morris, Schmauder, & Clifton, 1989).
 12 The go-past and regressions-out measures are sometimes considered “early,” sometimes
 13 “late,” measures. The occurrence of a regression reflects some difficulty in integrating a
 14 word when it is fixated, arguably an early effect. The go-past measure reflects this effect,
 15 and also the cost of overcoming this difficulty, which may well occur late in processing.
 16 The terms “early” and “late” may be misleading, if they are taken to line up directly
 17 with first-stage vs second-stage processes that are assumed in some models of sentence
 18 comprehension (Frazier, 1987; Rayner et al., 1983). Nonetheless, careful examination of
 19 when effects appear may be able to shed some light on the underlying processes. Effects
 20 that appear only in the “late” measures are in fact unlikely to directly reflect first-stage
 21 processes; effects that appear in the “early” measures may reflect processes that occur in
 22 the initial stages of sentence processing, at least if the measures have enough temporal
 23 resolving power to discriminate among distinct, fast-acting, processes.

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24 As argued in the first section of this chapter, a clear, if incomplete, picture seems to be
 25 developing about how lexical factors control eye movements. The same is not true about
 26 high-level factors. The earliest eye-movement research on such factors (Frazier & Rayner,
 27 1982) held promise that syntactic factors would have sharp and understandable influences
 28 on eye movements. Frazier and Rayner examined the reading of sentences like (1) and
 29 (2) given below, and found that the very first fixations on the disambiguating region
 30 (presented in bold face in the examples) were slowed, compared to earlier fixations, when
 31 they resolved a temporary ambiguity in favor of the theoretically unpreferred reading (in
 32 4, when *this* was absent). This disruption persisted through the next several fixations,
 33 and also appeared as an increased frequency of regressions. Eye movements appeared to
 34 provide a clear window onto syntactic “garden-pathing” (Bever, 1970).

AU8

35 (1) Since Jay always jogs a mile and a half (this) **seems like** a very short distance
 36 to him.

37 (2) (The lawyers think his/His) second wife will claim the entire family inheritance
 38 **(./belongs to her.)**

39 This early research was open to some criticisms. The disruption in (1) appeared in a
 40 region that followed the absence of an arguably obligatory comma (or prosodic break);
 41 the disruption in (2) appeared in a sentence-continuation that had no counterpart in
 42 the non-disruptive control condition. But the force of the missing-comma criticism is
 43 compromised by the fact that an equally obligatory comma is missing in the control

01 condition, with no effect on reading times, and the lack of a closely matched control in
02 (2) has been corrected in later research (Rayner & Frazier, 1987).

03 On balance, it appeared that syntactic processing difficulty could be identified by quickly
04 appearing disruptions in the eyetracking record. Rayner et al. (1983) provided evidence
05 for a similar conclusion when an initial syntactic misanalysis is signaled by a semantic
06 anomaly. They found increased first pass reading times for sentences like (3b), where
07 the first noun is semantically anomalous under the presumably preferred initial analysis,
08 compared to sentences like (3a). The effect appeared in the initial fixations in the disam-
09 biguating region, where it was significant when averaged over the first three fixations,
10 and apparent on the first fixation (and significantly longer than the previous fixation).

11 (3a) The kid hit the girl with a **whip before he got off the subway.**

12 (3b) The kid hit the girl with a wart before he got off the subway.

13 Later research, unfortunately, has not always demonstrated such clear, immediate, and
14 regular effects of syntactic and semantic factors on eye movements. We will briefly
15 describe one example of how a manipulation of syntactic and semantic factors can have
16 apparently very different results, depending on what eye movement measures one looks
17 at (this analysis was presented by Clifton, 2003).

18 An early demonstration of syntactic effects on eye movements was presented by Ferreira
19 and Clifton (1986), who showed disruption in the disambiguating region of sentences
20 like (4) when they were temporarily ambiguous (when the *who/that was* phrase was
21 absent) compared to when they were not ambiguous (when the *who/that was* phrase was
22 present). The effect appeared both when the initial noun was animate (4a) and when it
23 was inanimate (4b) and implausible as the subject of the following verb.

24 (4a) The defendant (who was) examined **by the lawyer** proved to be unreliable.

25 (4b) The evidence (that was) examined **by the lawyer** proved to be unreliable.

26 The disruption appeared in first pass reading time measures, and was taken to show
27 that the semantic implausibility of the presumably preferred main clause analysis in (4b)
28 did not override initial syntactic parsing preferences. This conclusion was challenged
29 by Trueswell, Tanenhaus, and Garnsey (1994), who argued that some of the Ferreira
30 and Clifton items that were claimed to semantically block the preferred main clause
31 reading did not do so. Trueswell et al. prepared two more adequate sets of materials,
32 carefully normed, and showed that any effect of ambiguity on first pass reading time was
33 nonsignificant (nearly zero, in one experiment) in materials like (4b), where semantic
34 preferences weighed against the main clause analysis. They concluded that their experi-
35 ment did demonstrate that semantic factors could overturn syntactic preferences, favoring
36 an interactive, constraint-satisfaction model over the modular serial model favored by
37 Ferreira and Clifton.

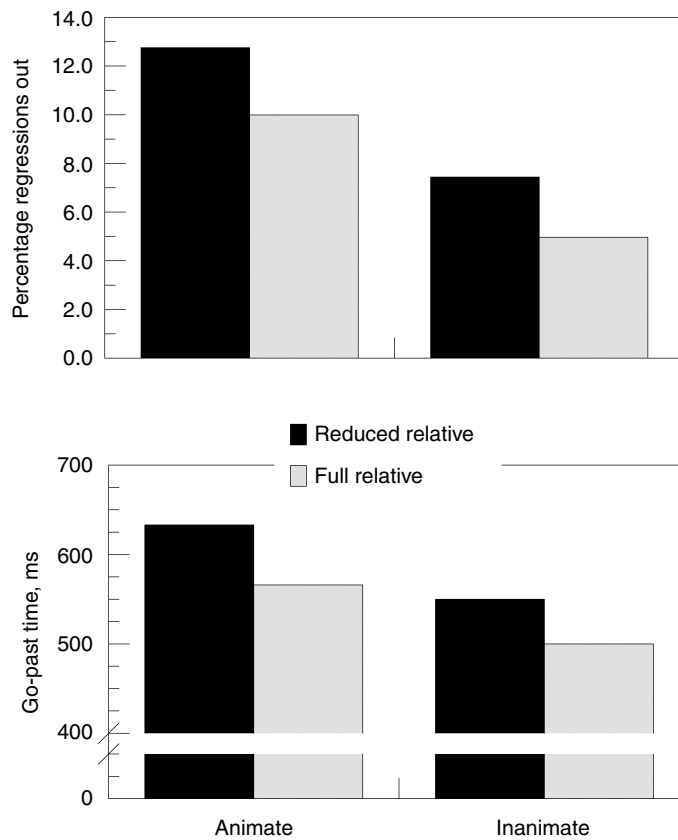
38 Clifton et al. (2003) revisited the question, using materials taken from Trueswell
39 et al. (1994). In two experiments they varied parafoveal preview of the disambiguating
40 information and examined the effects of participants' reading span. Abstracting from these
41 factors (which for the most part did not affect the magnitude of the disruption triggered
42 by a temporary ambiguity), the first pass time measures were similar to those reported by
43 Trueswell et al. (1994). Semantic biases reduced the first pass reading time measure of the

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01 temporary ambiguity effect to nonsignificance in sentences like (4b) (although, similar to
 02 the findings of Trueswell et al., the interaction of semantic bias and temporary ambiguity
 03 was not fully significant, and, unlike the findings of Trueswell et al. the ambiguity effect
 04 did not go fully to zero). However, a very different pattern of results was observed for the
 05 go-past (or regression path duration) and proportion of first pass regressions-out measures
 06 (Figure 1). These measures showed disruptive effects of temporary ambiguity that were as
 07 large in semantically biased, inanimate-subject sentences like (4b) as in animate-subject
 08 sentences like (4a) where no semantic bias worked against the presumed preference for
 09 a main clause analysis.

10 Clifton et al. (2003) concluded that a full examination of the eye movement record
 11 indicated that initial syntactic parsing preferences were not overcome by semantic biases,
 12 although such biases clearly affected overall comprehension difficulty for both temporarily
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42 Figure 1. Regression-out probability and Go-past time, Disambiguating region; Data from Clifton et al., 2003,
 43 Pooled over Experiments 1 and 2.

01 ambiguous and unambiguous sentences. However, this conclusion does leave several
02 salient questions unanswered. The first is, why did Ferreira and Clifton (1986) find first
03 pass time garden-path effects for both animate and inanimate-subject sentences while
04 later research found nonsignificant first pass time effects for inanimate-subject sentences?
05 Perhaps their sentences were inadequately controlled, as suggested by Trueswell et al.
06 (1994). Examination of the effects for individual items in the Ferreira and Clifton data,
07 however, does not support this claim: First pass effects were observed both for items
08 that Trueswell et al. later found to be acceptable and for inadequately biased items.
09 A more likely cause is that Ferreira and Clifton used a display that presented only 40
10 characters on a row, frequently necessitating a line break before the beginning of the
11 disambiguating by-phrase region. This would have prevented parafoveal preview of the
12 by-phrase (although we note that absence of parafoveal preview in the boundary-change
13 conditions of Clifton et al. (2003) did not affect the size of the ambiguity effect), it could
14 have encouraged a commitment to the apparent subject-verb structure of the material on
15 the first line, and it could have discouraged regressions from the disambiguating region
16 (which would have had to cross lines of text, unlike Clifton et al. (2003)).

17 A second question is, why in the Clifton et al. (2003) data did significant garden-
18 path effects appear in first pass times for sentences with animate subjects but only in
19 regressions and go-past times for sentences with inanimate subjects? Answering this
20 question requires a better understanding of the relation between comprehension difficulty
21 and eye movements than we now have. A detailed examination of the Clifton et al. (2003)
22 data (reported by Clifton, (2003)) did not answer the question. Perhaps the most salient
23 result of this examination is that while regression frequency increased in the syntactically
24 ambiguous conditions, regressions from the disambiguating region were quite infrequent
25 and the increase in regression frequency was quite small (from approximately 10 to 13%
26 for the animate-subject condition, and from approximately 5 to 8% for the inanimate-
27 subject condition, pooling data from Experiments 1 and 2; see Figure 1). The increase
28 in the size of the garden-path effect in the inanimate-subject condition from first pass
29 to go-past times thus has to be attributed to eye movement events that take place on a
30 very small minority of the trials. It is even possible that first pass fixation durations may
31 have been increased by temporary ambiguity, even in the animate-subject condition, on
32 only a small minority of trials. This would contrast sharply with what is true of effects
33 of lexical frequency on fixation duration, where the entire time distribution appears to be
34 shifted upwards for low frequency words (Rayner, 1995; Rayner, Liversedge, White, &
35 Vergilino-Perez, 2003). To our knowledge, no existing research on syntactic garden-paths
36 provides data on a large enough number of sentences to permit a convincing distributional
37 analysis to be made. It remains a challenge to researchers to devise a way of asking the
38 question of whether first pass reading times typically or exceptionally increase upon the
39 resolution of a garden-path.

40 Even if it is not currently possible to provide a general answer to the question of whether
41 syntactic (and other high-level) factors affect eye movements on many or on few trials,
42 it may be possible to make some progress toward understanding how high-level factors
43 affect eye movements by examining the existing literature (see Boland, 2004, for related

discussion). As suggested above, some of the early research indicated that syntactic or semantic anomaly slowed eye movements essentially immediately. Other, more recent, research suggests that under some conditions, such anomalies may trigger regressive eye movements rather than affecting fixation durations. Still other research suggests that effects of anomaly may in some instances appear only later in the eye movement record. Given the frequently stated desire to use eye movements to make inferences about the immediacy of various levels of processing in language comprehension (Rayner, Sereno, Morris, Schmauder, & Clifton, 1989; Rayner & Sereno, 1994), we believe it may be useful to take stock of just when and how a wide variety of high-level factors impact the eye movement record.

Survey of eyetracking articles. We identified 100 articles that used eye movements to explore the effects of syntactic, semantic, and pragmatic factors on sentence comprehension (listed in Table 1). We attempted to include all such articles that had been

Table 1
100 Articles on the effect of higher-order processes on eye movements

1. Adams, B. C., Clifton, C., Jr., & Mitchell, D. C. (1998). Lexical guidance in sentence processing? *Psychonomic Bulletin & Review*, 5, 265–270.
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5. Ashby, J., Rayner, K., & Clifton, C. J. (2005). Eye movements of highly skilled and average readers: Differential effects of frequency and predictability. *Quarterly Journal of Experimental Psychology*, 58A, 1065–1086.
6. Binder, K., Duffy, S., & Rayner, K. (2001). The effects of thematic fit and discourse context on syntactic ambiguity resolution. *Journal of Memory and Language*, 44, 297–324.
7. Birch, S., & Rayner, K. (1997). Linguistic focus affects eye movements during reading. *Memory & Cognition*, 25, 653–660.
8. Boland, J. E., & Blodgett, A. (2001). Understanding the constraints on syntactic generation: Lexical bias and discourse congruency effects on eye movements. *Journal of Memory and Language*, 45, 391–411.
9. Braze, D., Shankweiler, D., Ni, W., & Palumbo, L. C. (2002). Readers' eye movements distinguish anomalies of form and content. *Journal of Psycholinguistic Research*, 31, 25–44.
10. Britt, M. A., Perfetti, C. A., Garrod, S., & Rayner, K. (1992). Parsing in discourse: Context effects and their limits. *Journal of Memory and Language*, 31, 293–314.
11. Brysbaert, M., & Mitchell, D. C. (1996). Modifier attachment in sentence parsing: Evidence from Dutch. *Quarterly Journal of Experimental Psychology*, 49A, 664–695.
12. Carreiras, M., & Clifton, C. Jr. (1999). Another word on parsing relative clauses: Eyetracking evidence from Spanish and English. *Memory and Cognition*, 27, 826–833.
13. Clifton, C. (1993). Thematic roles in sentence parsing. *Canadian Journal of Experimental Psychology*, 47, 222–246.
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15. Clifton, C., Jr., Traxler, M., Mohamed, M. T., Williams, R. S., Morris, R. K., & Rayner, K. (2003). The use of thematic role information in parsing: Syntactic processing autonomy revisited. *Journal of Memory and Language*, *49*, 317–334.
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 17. Deutsch, A., & Bentin, S. (2001). Syntactic and semantic factors in processing gender agreement in Hebrew: Evidence from ERPs and eye movements. *Journal of Memory and Language*, *45*, 200–224.
 18. Ehrlich, S. F., & Rayner, K. (1981). Contextual effects on word perception and eye movements during reading. *Journal of Verbal Learning and Verbal Behavior*, *20*, 641–655.
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01 published in peer-reviewed journals at the time of writing.¹ We did not include articles
 02 where the main factor of interest involved discourse structure, text properties, inferences,
 03 or anaphora (although we did include articles where the effects of discourse structure,
 04 etc., on the effect of some syntactic or semantic property of a sentence were studied).
 05 We generally did not include papers published as chapters in edited books, but we did
 06 include a very few that struck us as making a unique contribution. We did not include any
 07 unpublished papers, apart from a few of our own. The 100 articles under consideration
 08 are those indicated by a number in Table 1. Our following discussion refers to these
 09 articles by this number.

10 We examined each of these articles, categorizing the experiments they contained in
 11 several ways. The results of this categorization appear in Tables 2 and 3. The final
 12 “ALL” column of these tables lists the numbers (see Table 1) of all the articles that
 13 fall in a given category. These tables indicate a variety of properties of the experiments,
 14 including a specification of the first region in which an effect of the primary manipulated
 15 factor appears in each reported eyetracking measure. The measures are FF (first fixation),
 16 FP (first pass), GP (go-past), SP/TT (either second pass or total time, whichever was
 17 reported), and RO (regressions out).

18 If an experiment involved the resolution of a temporary syntactic ambiguity, it is listed
 19 in Table 2. In this table, Region D indicates the region in which the disambiguation first
 20 appeared (and D+1 the next region). SP/TT effects are reported if they occurred in any
 21 region. If an experiment did not involve temporary ambiguity, but instead involved factors
 22 hypothesized to affect predictability, plausibility, complexity, or similar properties of sen-
 23 tences, it appears in Table 3. In this table, Region C indicates the critical region, the region
 24 involving the predictable/plausible/etc. word or words. In both tables, brief descriptions
 25 of the temporary ambiguity or the primary manipulated factor appear in the first column.
 26 In Table 2, the second column indicates the nature of the disambiguating material. “Cat-
 27 egory” means that disambiguating information was conveyed by the syntactic category
 28 of the disambiguating phrase (e.g., in the SCO/MCS ambiguity, an ambiguity between
 29 subordinate clause object and main clause subject – see Notes to Table 2 – “category”
 30 means that the disambiguation was conveyed by the fact that the main verb of the sentence
 31 followed the NP that was temporarily ambiguous between an object and a subject). The
 32 number of an article in Table 1 appears in the earliest column of each measure for which
 33 a statistically significant effect was reported. Note that experiments differ substantially
 34 in the length of the critical or disambiguating region, and that experiments where this
 35 region is very short may tend to yield effects that emerge only on the following region.
 36 Note further that few experiments included reports of all measures, so the absence of an
 37 article-number in a column does not mean that there was a null effect; it may simply
 38 mean that the effect was not reported for the measure in question.

39 Some multi-experiment articles appear in multiple categories of a table, or in both
 40 tables. In a great many cases, we have abstracted away from the factors of most interest
 41

42 ¹ If we have missed any, we apologize to the authors, and ask them to accept our oversight as an error, not as
 43 a snub.

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Ch. 15: Eye Movements in Reading Words and Sentences

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Table 2
Classification of articles examining effects of temporary ambiguity

Structure	Disambiguation	FF-D	FF D+I	FP D	FP D+I	GP D	GP D+I	SPTT (any)	RO-D	RO-D+I	ALL
SCOMCS	category	1, 13, 28, 97		1, 13, 21, 22, 28, 60, 97		29, 63, 64, 97		29, 47, 63, 64	13, 21, 29, 31, 47, 60, 63, 64, 97	63, 64	1, 13, 21, 22, 28, 29, 31, 47, 60, 63, 64, 97
SCOMCS	Transitivity					97		1		97	1, 97
MCRC	Category	58, 65		6, 15, 19, 41, 56, 58, 65, 67, 69 ^a , 77, 94		15		6, 19, 41, 48, 58, 65, 67, 69, 77, 94	15, 41, 48, 56, 65, 67		6, 15, 19, 41, 48, 56, 58, 65, 67, 69, 77, 94
NP/S comp	Category	20, 28, 65		28, 36, 42 ^b , 43, 65, 83, 93	63	83		63, 74, 83, 93	42 ^b , 65		20, 28, 36, 42, 43, 63, 65, 74, 83, 93
NP/S comp	casemarking			88							88
PP Attach	category			19				19			19
PP Attach	plausibility	31 ^c , 65 ^d		10, 14, 31, 45, 46, 65, 76	98	46	98	10, 46, 76	14, 31, 76	98	10, 14, 31, 45, 46, 56, 65, 76, 98
adverb attachment	morphology						54	54		54	54
adverb attachment	time adverb (plausibility)			4, 96		96			4		4, 96
RC attachment	plausibility			12 ^e		45				90, 96 ^f	12, 45, 90, 96
RC attachment	morphology (gender)			11, 100		11, 100		100			11, 32, 100
argument/adjunct	plausibility			49			50	44, 50			44, 49, 50
LDD	category + plausibility	89		89		62		61, 62		62 ^g	61, 62, 89

(continued on next page)

Table 2
(Continued)

Structure	Disambiguation	FF-D	FF D+1	FP D	FP D+1	GP D	GP D+1	SPTT (any)	RO-D	RO-D+1	ALL
NP/S coordination	category		80 ^b	37, 80		80			80		37, 80
S/O RC	category			87		92		92	87, 92		87, 92
S/O RC	morphology			51			51 ⁱ		38		38, 51
SC/RC	category			2, 3							2, 3

Note 1: SCO/MCS = Initial subordinate clause object vs main clause subject; MC/RC = Main clause vs reduced relative clause; NP/S Comp = Direct object NP vs sentence complement; PP attach = Attach PP to verb or noun; adverb attach = attach adverb high or low; RC attach = Attach relative clause to N1 or N2 in N1 of N2 construction; argument adjunct = Analyze phrase as argument vs adjunct e.g. agentive-by vs locative-by; LDD = Long distance dependency (filler/gap); NP/S coordination = Coordinate phrases as NP or as S; S/O RC = Subject vs object extracted relative clause.

Note 2: The following syntactic disambiguation experiments are unclassified:

- 8 lexical/syntactic category bias, FP effect, later modulated by context
- 16 NP conjunction, biased by pronoun or parallelism, late effects
- 24 sluicing, marginally faster with two than one possible antecedent
- 25 semantic (quantifier presupposition), FP and TT effects on D+1
- 26 conjoined NP, facilitated by parallelism, TT and marginal FP effects on D
- 29 Noun-noun compound vs noun-verb predication, delayed effect
- 39 Finnish, normal FP SVO preference overcome by casemarking
- 64 SCO/MCS French, really anaphora
- 82 apparent immediate interpretation of anaphor in coordinated VP, GP effect before end of VP.

Note 3: Footnotes from Table 2 follow:

- ^a Reanalysis of 67, regression-contingent
- ^b S-Comp slow regardless of ambiguity
- ^c Second language only
- ^d Significant when pooled over first three fixations
- ^e English only
- ^f Ambiguous easier than disambiguated
- ^g Effect appeared at region D+2
- ^h D is the phrase "or NP" and D+1 is the following, truly disambiguating, region
- ⁱ Effect appeared at region D+2.

Table 3
Classification of Articles Involving High-Order Effects other than Temporary Ambiguity (C = Critical Region)

Category	FF-C	FF C+1	FP C	FP C+1	GP C	GP C+1	SP/TT (any)	RO-C	RO-C+1	ALL
Lexical predictability	35, 53, 72		5, 18 ^a , 35, 53, 72		5		72	5		5, 18, 35, 53, 72
semantic/pragmatic anomaly	55	71	9, 71, 85	57	71		71, 55, 85	57	71	9, 55, 57, 71, 85
syntactic anomaly			9, 17	59			59	9, 57, 59		9, 17, 57, 59
lexical semantics	33		33, 34	33, 34, 91	86		34, 85, 91	33, 34	86	33, 34, 86, 91
complexity	70, 80		40 ^b , 70, 80, 95		80		95	80		40, 70, 80, 95
syntactic category			78	78	78		75			75, 78
semantic interpretation – phrase and clause			7, 84, 99	27	84	52	23, 52, 73	7, 52	27	7, 23, 27, 52, 73, 84, 99

Note 1: Footnotes from table follow.

^a Also increased skipping of predictable word.

^b Also more fixations of complex region.

01 to the authors of an experiment. For instance, the authors may have been interested in
 02 the effect of plausibility or context on how a syntactic garden-path is resolved. In these
 03 tables, since we are interested primarily in what aspects the eyetracking record reflect
 04 what types of processing difficulty, we simply categorize the experiment on the basis
 05 of the type of garden-path and how it was eventually resolved, and report the earliest
 06 appearances of the resolution in any condition of the experiment.

07 Tables 2 and 3 are presented largely to stimulate a deeper examination of how eye
 08 movements reflect sentence processing. These tables, by themselves, cannot present all
 09 relevant information about an experiment. For instance, authors of different experiments
 10 on the same topic commonly differ in how they divide their sentences into regions, which
 11 clearly can affect where an eyetracking effect can appear. However, even a superficial
 12 examination of these tables supports some generalizations. Consider first Table 2, the
 13 “garden-path” table. It is clear that few first fixation effects appear. This is largely because
 14 few authors report such effects. This is justified when the disambiguating region contains
 15 multiple words and the first word of the region does not contain disambiguating material.
 16 No first fixation effect should be expected if the first fixation does not land on critical
 17 material. However, in cases where the first (or only) word of a disambiguating region
 18 was of a syntactic category that reversed a strong initial preference for one interpretation
 19 (e.g., the SCO/MCS, or the MC/RC, main clause/relative clause, ambiguity), first fixation
 20 effects have been reported. The only instance of a first fixation effect on the following
 21 region appears in article 80, where it is probably a spillover effect. First pass effects are
 22 very common, certainly where disambiguation is carried by syntactic category, and also
 23 in some cases (e.g., ambiguous attachment of a prepositional phrase, PP, to a verb or a
 24 noun, as in (6), cited earlier), sheer implausibility of the initially preferred interpretation
 25 can result in first pass effects. One can conclude that semantic interpretation (at least, of
 26 the initially preferred alternative) is done very quickly indeed, and can appear quickly in
 27 the eyetracking record. Note, however, as discussed above, currently available data does
 28 not allow us to decide whether such an effect occurs on most or all trials, or only on a
 29 possibly small subset of trials.

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30 There are rather few cases where an effect shows up in go-past but not in first pass (as
 31 was the case for the inanimate-subject items in Clifton et al., 2003), but there are some.
 32 The majority of these are in the object/subject “late closure” (SCO/MCS) ambiguity,
 33 but some appear in PP attachment, long distance dependencies (LDDs; filler-gap con-
 34 structions), and subject vs object extracted relative clauses. The appearance of effects in
 35 percentage of regressions out (RO) of the disambiguating region that did not appear in first
 36 pass time probably reflects a similar dynamic. These can be seen in some of the cases just
 37 discussed. A very late effect sometimes appears as regressions out of the region following
 38 the disambiguating region (e.g., in some cases of PP, adverb, or relative clause attach-
 39 ment). Second pass/total time effects in the absence of effects already discussed (first pass,
 40 go-past, regressions out) are almost non-existent, appearing only in articles 1, 44, 54, and
 41 61. We note that the generally low power of experiments on sentence processing leaves
 42 open the possibility that these experiments simply failed to detect a real effect in the earlier
 43 measures. We further note that late effects in the absence of early effects are reported for

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01 some conditions of the experiments reviewed, e.g., the unambiguous inanimate-subject
02 conditions of Clifton et al. (2003) (15). In these cases, they may reflect some general
03 comprehension difficulty, not associated with the resolution of a syntactic ambiguity.

04 One final point is worth bringing up, even though it is not reflected in Table 2. We
05 examined all the relevant articles for evidence about whether reading is slowed in the
06 ambiguous region, compared to an unambiguous control condition. Models of sentence
07 processing that posit a time-consuming process of competition among alternative analyses
08 apparently predict such slowing. These models include MacDonald, Pearlmutter, and
09 Seidenberg (1994), and McRae, Spivey-Knowlton, and Tanenhaus (1998), and Spivey
10 and Tanenhaus (1998); see Gibson and Pearlmutter, 2000; Lewis, 2000; and Traxler,
11 Pickering, and Clifton, 1998, for some discussion.

12 It turns out that there are very few instances of such a slowing in the ambiguous
13 region. In most cases, if the data are presented, no slowing appears, and, in fact, there
14 are several reports of a speedup in an ambiguous region as compared to an unambiguous
15 region (see Articles 28, 90, 96, and 98). There are a very few specious cases of apparent
16 slowing (e.g., Articles 13, 19, 36, 47, 60), but they all appear to be due to semantic
17 implausibility of the preferred interpretation rather than a slowdown due to ambiguity
18 per se. However, there are a few cases of apparent slowing due to ambiguity. Most of
19 these involve the main clause/reduced relative ambiguity, and include Articles 15, 56,
20 and 58. The apparent slowing in an ambiguous phrase also appears in Articles 43 and
21 74, which examined the direct object/sentence complement ambiguity. Several of these
22 (15, 43, 58, 74) could be dismissed as simply reflecting fast reading after the highly
23 frequent series of words in the disambiguating condition *that was* and the slowdown in
24 Article 43 could actually reflect the semantic implausibility of attaching a prepositional
25 phrase as a modifier of the preceding verb, a normally preferred analysis. However,
26 Article 56 (Ni et al., 1996) cannot be dismissed so easily since disambiguation in that
27 case was carried by the morphology of the otherwise-ambiguous verb (e.g. *The horse*
28 *raced vs ridden . . .*), but note that different sentences with different lexical items and
29 different content were used in the ambiguous and unambiguous conditions, making direct
30 comparison uncertain. None of the remaining studies of the main clause/reduced relative
31 clause ambiguity reported slower reading in the ambiguous region. It is possible that the
32 experiments that did not detect slowing in the ambiguous region simply did not have
33 enough power to detect the effect, but it is also possible that some of the participants in
34 the experiments that did report the effect became aware of the occasional ambiguity and
35 deliberately read it cautiously.

36 Table 3 encompasses articles examining effects on eye movements generated by a range
37 of factors other than syntactic ambiguity. Many of these articles examine effects on word
38 processing, but we discuss them here, as well (in some cases) as in the first section of this
39 chapter, because these articles focus on the syntactic, semantic, or pragmatic relationship
40 between a word and its context.

41 Relatively few eyetracking studies have examined the effect on eye movements of
42 encountering a syntactically or semantically anomalous word in printed text. It is some-
43 what surprising that of the four studies (9, 17, 57, 59) that have explicitly examined

01 responses to syntactic anomaly (e.g., agreement errors), only two (9, 17) found effects
 02 appearing on the anomalous word. On the other hand, four (9, 55, 71, 85) of the five
 03 studies of semantic or pragmatic anomaly have found increased first fixation duration
 04 or gaze duration on the offending word (57 reported only a late effect). Of course, it
 05 is possible that which measure an effect first appears in reflects the magnitude of the
 06 processing disruption occasioned by the effect, and not simply the timing of the processes
 07 that the effect reflects.

08 It is interesting to contrast the paucity of eyetracking studies of anomaly with the
 09 profusion of event-related potentials (ERP) studies that have focused on brain responses
 10 to anomalous words. The earliest reported electrophysiological response to a syntactic
 11 word category violation (the early left anterior negativity, or ELAN; Hahne & Friederici,
 12 1999; Neville, Nicol, Barss, Forster, & Garrett, 1991) occurs 150–200 ms after the onset
 13 of the critical word, while the typical response to a semantic violation (the N400, first
 14 identified by Kutas & Hillyard, 1980) peaks about 400 ms after word onset. However,
 15 whether agreement violations trigger an early effect is not certain, with some stud-
 16 ies reporting such an effect (Coulson, King, & Kutas, 1998; Deutsch & Bentin, 2001;
 17 Osterhout & Mobley, 1995) and others reporting only a much later effect, the P600
 18 (Hagoort, Brown, & Groothusen, 1993; Munte, Heinze, & Mangun, 1993; Osterhout,
 19 McKinnon, Bersick, & Corey, 1996). In sum, the overall picture from both ERP and eye
 20 movement research suggests that the question of exactly when syntactic and semantic
 21 anomalies each affect language comprehension is still to be settled.

22 A study by Rayner et al. (2004; 71), which was mentioned in the earlier discussion
 23 of plausibility effects on word recognition, suggests that semantic anomaly is probably
 24 not a unitary phenomenon with respect to its effect on eye movements. Rayner et al. had
 25 participants who read sentences such as:

26 (5) John used a knife to chop the large carrots for dinner last night.

27 (6) John used an axe to chop the large carrots for dinner last night.

28 (7) John used a pump to inflate the large carrots for dinner last night.

29 In all sentences, the target word is *carrots*. Sentence (5) is a normal control condition; in
 30 (6), the target word is an implausible theme given the combination of verb and instrument;
 31 and in (7), the target word is an anomalous theme of the verb. Rayner et al. found that
 32 while (6) only caused mild disruption to reading, appearing in the go-past measure on
 33 the target word and in gaze duration on the following word, (7) caused a more strong
 34 disruption, appearing as an increase in gaze duration on the target word. Given that this
 35 relatively subtle difference in the type of implausibility produces a clear difference in the
 36 eye movement record, it is not surprising that when semantic anomaly or implausibility
 37 has been used as a means of disambiguation in studies of syntactic ambiguity processing,
 38 the time course of its effect has varied considerably.

39 Even within the range of words that are not semantically anomalous given the preced-
 40 ing context, there are, as was discussed above, early effects on eye movements of the
 41 word's semantic fit. Five studies (5, 18, 35, 53, 72) have examined the effect of a word's
 42 predictability or "contextual constraint"; in general, this is defined in terms of the word's
 43 cloze probability (i.e., the probability that informants will produce the target word as the

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01 likely next word in the sentence, given the sentence up to that word). The basic finding is
02 that when a word is highly predictable, the first fixation duration or gaze duration on the
03 word is decreased. One article (53) has reported that the transitional probability between
04 two words in corpora has an independent facilitatory effect on processing of the second
05 word, though a second article (72) has reported results suggesting that when overall pre-
06 dictability is well controlled, transitional probability does not have an independent effect.

07 In a recent study (78) that is related to the issue of predictability, we demonstrated
08 that when a word's syntactic category is predictable, though the word itself is not, gaze
09 duration is reduced either on the word itself or on the next word, depending on the
10 syntactic construction. For example, after a determiner (e.g., *the*), gaze duration is shorter
11 on a noun (which must occur in the phrase beginning with the determiner) than on an
12 adjective (which is legal but optional), even when factors such as length, frequency, and
13 lexical predictability held constant. Another study that specifically examined syntactic
14 category effects (75) found that readers tend to refixate function words more frequently
15 than content words, though somewhat surprisingly this study did not find any significant
16 earlier effects of this distinction between word classes.

17 In Table 3 we have identified four studies (33, 34, 86, 91) that manipulated the nature
18 of the semantic processing that is required on a word, under the heading of *lexical*
19 *semantics*. Since these studies focused on a range of types of semantic processing, it
20 is not surprising that there is considerable variation in the time course with which the
21 manipulations affected eye movements. At one extreme, Frisson and Frazier (2005; 33)
22 found that when a mass noun appears in the plural (e.g., *some beers*), or a count noun
23 appears in the singular with a plural determiner (e.g., *some banana*), the first fixation
24 duration on the critical word is lengthened. On the other hand, in a study by Traxler,
25 Pickering, and McElree (2002; Article 91; see also Pickering, McElree, & Traxler, 2005)
26 that examined so-called coercion, where a noun with no intrinsic temporal component
27 must be interpreted as an event (as in the phrase *finish the book*), the earliest significant
28 effects were on the word after the critical word.

29 We identified four articles (40, 70, 80, 95) that investigated the effect of syntactic
30 complexity of a phrase in the absence of syntactic ambiguity. All of these reported an
31 effect of increased complexity on first fixation duration or first pass time in the critical
32 region. To cite just one example (80), we have recently conducted a study of the processing
33 of so-called Heavy NP Shift (Ross, 1967), in which a verb's direct object appears at the
34 end of the sentence rather than adjacent to the verb. The experiments varied the point in
35 the sentence at which the reader had to construct this complex syntactic analysis. At the
36 point at which the Heavy NP Shift analysis had to be constructed, readers consistently
37 slowed down, made regressive eye movements, or both.

38 Finally, we also included a number of articles examining semantic processing effects
39 on linguistic structures larger than a single word (7, 23, 25, 27, 52, 73, 84, 99). Again, a
40 rather diverse collection of phenomena are investigated in these articles. Several of the
41 studies report early effects of their manipulations, but two report only second pass or
42 total time effects. We suspect, in addition, that this may be one area in which researchers
43 have obtained various null effects that have remained unpublished.

3. Conclusions

Measuring eye movements during reading has greatly enhanced the understanding of how people identify words and comprehend sentences. The early impact of linguistic variables such as lexical frequency and age-of-acquisition on eye movements has shown that eye movements quite directly reflect linguistic processing. In turn, the speed of eye movements, and their tight linkage to at least some parts of the reading process, has provided convincing support for the thesis that language processing is often essentially immediate, at least in the sense that a word is typically interpreted and integrated into the communicated message while the eyes are still fixated on it (see Marslen-Wilson, 1973, for an early statement of this thesis in the domain of listening). Eye movements have allowed researchers to probe the early stages of reading in a clear and direct fashion that is exceeded by no other technique we know of.

In the domain of word identification, eye movement data have been extremely clear and orderly. Intrinsic lexical factors generally have their effect on very early measures of eye movements, including first fixation and gaze duration, and some relational factors that may affect word identification do as well. The basic phenomena seem to be sufficiently consistent and replicable to support the development of theories in a “bottom-up” fashion. To be sure, there is still plenty of room for theorists to argue about the best way to interpret data (see the discussion following Reichle et al., 2003). But the strategy of first identifying solid empirical phenomena and then building formal theories that account for them has paid off very well in this domain.

The domain of sentence comprehension is similar in some ways, but very different in others. Eye tracking measures have shown that much, if not quite all, of sentence comprehension is nearly immediate. Reflections of syntactic or semantic anomaly or complexity sometimes can appear very quickly in the eye movement record, as do effects of recovering from garden-paths. Eyetracking measures have also shown that syntactic knowledge and at least some kinds of semantic, pragmatic, and real-world knowledge have effects even during fixations on the phrase that provides access to this knowledge. But our survey of the literature shows that the effects of sentence comprehension factors are more variable than the effects that word identification factors, such as lexical frequency and lexical ambiguity, have on eyetracking measures.

Some of this variability may reflect experimental limitations more than deep-seated differences between lexical processing and sentence integration. For instance, the greater variability in the length of critical regions in studies of sentence integration than in the length of words that constitute critical regions in studies of lexical processing certainly gives rise to more variability in where an effect will appear in the eyetracking record. Further, we suspect sentence integration and comprehension processes are more sensitive than word recognition processes to the task and goals given to the reader, leading to greater variability across studies.

On the other hand, the variability in effects of sentence comprehension factors may be more fundamental. A reader has more options about how to deal with processing difficulty when the difficulty is occasioned by plausibility or complexity or syntactic misanalysis

01 than when it is occasioned by difficulty recognizing a word. In the latter case, the only
 02 option the reader has is to continue looking at the word (or giving up, or guessing). In
 03 the former case, the reader may go back into earlier text to try to identify problems, or
 04 continue thinking about the phrase that made the difficulty apparent, or plunge ahead,
 05 hoping that later information will resolve the issue. Furthermore, in contrast to normal
 06 word recognition, a wide range of factors contributes to sentence comprehension. We are
 07 far from understanding how these factors are coordinated (a topic of raging disagreement
 08 which we have largely avoided in our review) and whether their coordination is modulated
 09 by differences in a reader's abilities and strategies. Suffices it to say that the greater
 10 flexibility in dealing with sentence comprehension difficulty and the wide range of factors
 11 that affect it could mean that high-level processing shows up in the eye movement record
 12 in a variety of different ways, with any one effect appearing only occasionally.

13 In our view, the "high-level" variables that affect sentence interpretation are much more
 14 complex, both in their definition and in their effect, than the variables that govern much
 15 of the variation in word identification. We suspect that understanding how these high-level
 16 variables operate is not something that can be induced from observations of eyetracking
 17 phenomena (as we claim has been true in large part in the domain of word identification).
 18 Rather, we suspect that understanding must be guided by the development of more explicit
 19 theories than existing now of how syntactic, semantic, pragmatic, and real-world knowledge
 20 guide language comprehension. We hold hope that development of such theories will help
 21 make sense of the empirical variability that we have illustrated in Tables 1 and 2.

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Chapter No: 15

Query No	Query
AU1	'Rayner, Ashby, Pollatsek, & Rayner, 2004' has been changed to 'Rayner, Ashby, Pollatsek, & Reichle, 2004' in order to match with the references. Please check.
AU2	Please check the correctness part title "Eye Tracking and Reading"
AU3	'Schroyens, Vitu, Brysbaert, & d'Ydewalle, 1998' has been changed to 'Schroyens, Vitu, Brysbaert, & d'Ydewalle, 1999 in order to match with the references. Please check.
AU4	Neither does "Morris" contribute any chapters in this volume nor does his name appear in the contributor's list. Please check.
AU5	'Chafin, Morris, & Seely, 2001' has been changed to 'Chaffin, Morris, & Seely, 2001' in order to match with the references. Please check.
AU6	'Pollatsek and Hyönä 2003' has been changed to 'Pollatsek and Hyönä 2005' in order ot match with the references. Please check.
AU7	Does the sentence "The go-past and regressions-out ... measures" mean that <i>both</i> "go-past" and "regressions-out" measures are called "early" measures, but called "late measures" sometimes? Or does that mean "the go-past" measures are called "early" measures and "regressions-out" measures are called "late measures"? Please clarify.
AU8	In sentence 4, there is no words <i>this</i> , but is in sentence (1). Please advise.
AU9	"although, similar Trueswell et al. , the interaction ...and, unlike Trueswell et al. the ambiguity effect did not go fully to zero" has been changed to "although, similar to the findings of Trueswell et al. , the interaction ...and, unlike the findings of Trueswell et al. the ambiguity effect did not go fully to zero". Is this OK?
AU10	"see References" has been changed to "see Table 15.1". Is this OK?
AU11	Here and in the discussion below, the word "reference" is replaced by c"article"; here, for example, "Reference 80" is replaced by "article 80". Is this OK?
AU12	"The greatest number of these are ... relative clauses" has been changed to "The majority of these are ... relative clauses". Is this OK?
AU13	"(7) caused a more rapid disruption ... target word" has been changed to "(7) caused a more strong disruption ... target word". Is this OK?

01 AU14 Please check if the table numbers should be 2 and 3 as
02 against the given 1 and 2.
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